

# STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING

Docket No.

| INFORM   | NEC N1128 \  |  |  |
|--|--|--|--|
| In Re Application Of: IN                                     | и по   |  |  |
| Serial No.   | Filing Date October 29, 2001   | Examiner LATTIN, CHRISTOPHER   | Group Art Unit   |
|  | itching Mode Active Matrix Liquatrix and Color Filters and Proces  |  | ng Highly Resistive Layer                                |
|  | TO THE ASSISTANT COM   | MMISSIONER FOR PATENTS   | <u>:</u>   |
| This is a statement unde                                     | r the provisions of 37 CFR 1.97(   | e) in the above-identified appli   | ication.   |
| Check applicable statem                                      | ent herebelow:   |  |  |
| ·  | Statement Und  | er 37 CFR 1.97(e)(1)   |  |
| any communication  | mation contained in the accomp<br>on from a foreign patent office<br>of filing of the Information Disclos  | in a counterpart foreign appli   |  |
|  | Statement Und  | er 37 CFR 1.97(e)(2)   |  |
| communication fro<br>undersigned perso<br>Information Disclo | nation contained in the accomp<br>om a foreign patent office in a co<br>on, after making reasonable inqu<br>sure Statement was known to a<br>e filing of the Information Disclos | ounterpart foreign application,<br>uiry, no item of information co<br>ny individual designated in 37 | and, to the knowledge of the ntained in the accompanying |
| Alony  | A Slev S   | Dated: April 2, 2004   |  |
| Norman P. Soloway  |  | Certificate  | of Mailing by First Class Mail                           |

Registration No. 24,315 HAYES SOLOWAY P.C. 130 W. Cushing Street Tucson, AZ 85701

I certify that this document is being deposited on

April 2, 2004

with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the

Assistant Commissioner for Patents, Washington, D.C. 20231.

Person Mailing Correspondence

**Kathryn Grimes** 

Typed or Printed Name of Person Mailing Correspondence

cc:



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: MATSUMOTO

SERIAL NO.: 10/055,127

FILED:

October 29, 2001

FOR:

In-Plane Switching Mode Active Matrix Liquid Crystal Display Panel...

GROUP:

2812

EXAMINER: LATTIN, CHRISTOPHER

DOCKET:

**NEC N1128** 

04/06/2004 FFAMAEIA 00000100 10055127

MAIL STOP ISSUE FEE Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND PETITION

Dear Sir:

The above application has been allowed and the issue fee was paid on February 4, 2004. Applicant requests consideration of the following references, which have recently come to Applicant's attention. Form PTO-2038 authorizing credit card payment in the amount of \$180.00 to cover the Petition fee is enclosed.

In connection with the above-entitled matter, Applicant hereby attaches U.S. Patent Office form PTO-1449, including copies of the references listed therein. Where available, English language counterparts and/or abstracts are being provided for Examiner's convenience. These references were cited in the Korean Office Action dated January 31, 2004 of the underlying Korean patent application. Enclosed is a copy of the Korean Office Action, together with an English translation of the relevant portions thereof. The claims in the present application are believed to be patentably distinguished over these references.

HAYES SOLOWAY P.C.

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This information disclosure statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(A) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of foreign examiner or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted therewith.

The enclosed Information Disclosure Statement is being submitted within three months of receipt of the Korean Office Action, as certified in the attached Certification for Information Disclosure Statement.

The Examiner is respectfully requested to consider the foregoing references. Moreover, should the Examiner deem it necessary, he is requested to withdraw the Notice of Allowance, and reopen prosecution.

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Serial No. 10/055,127
Docket No. NEC N1128
Supplemental Information Disclosure Statement and Petition

Respectfully submitted,

Norman P. Soloway

Norman P. Soloway Attorney for Applicant Reg. No. 24,315

## **CERTIFICATE OF MAILING**

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| INFORMATION DISCLOSURE CITATION  See several sheets if necessary)  APR 11 5 2004 |          |   |         | NEC N1128 Applicant(s) |                                | 10/055,127       |                      |                |           |
|--|----------|---|---------|------------------------|--------------------------------|------------------|----------------------|----------------|-----------|
| <i>{</i>   |          | se several sheets if neces  | sary)   |                        | MATSUMOTO Filing Date          | 10               | Group Art Unit       |                |           |
| APR  | 0 5 2004 |   |         |                        | October 29, 200                |                  | 2812                 |                |           |
| . Kil  |          | a S   |         | U.S. PAT               | ENT DOCUMENTS                  |                  |                      |                |           |
| EXAMINER INITIAL   | 10EM     | DOCUMENT NUMBER   | DATE    |                        | NAME                           | CLASS            | SUBCLASS             | FILING D       |           |
|  |          |   |         |                        |                                |                  |                      |                |           |
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|  |          |   |         | FOREIG                 | N PATENT DOCUMENTS             | 1                |                      |                |           |
|  | REF      | DOCUMENT NUMBER   | DATE    |                        |                                | CLASS            | SUBCLASS             | Transla<br>YES | NO NO     |
|  |          | 1994-26599  | 9.12.94 |                        |                                | G02F             | 1/1337               |                |           |
|  |          | 2000-066018   | 3.3.00  | JAPAN (w/abstract)     |                                | G01B             | 5/20                 |                | _         |
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|  |          |   |         |                        |                                |                  |                      |                |           |
|  | Т        |   |         | OTHER:                 | DOCUMENTS (Including A         | luthor, Title, D | ate, Pertinent Pag   | es, Etc.)      |           |
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| EXAMINER   |          |   | - 1     | DATE CONSIDERED        |                                |                  |                      |                |           |
|  |          | al if citation considered, whethe<br>e copy of this form with next co |         |                        | Lee with MPEP Section 609; Dra | aw line throug   | h citation if not in | n conformanc   | ce and no |

Form PTO-A820 (also form PTO-1449)